

AG TRANSPORT, s.r.o.

ZPRÁVA Z AUDITU

Surveillance 2

Zpráva vydána v 16:57 GMT dne 15-Červenec-2024



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ID Klienta:	CMPY-039925
Klient/Adresa:	AG TRANSPORT, s.r.o. Průmyslová 1141 686 01 Uherské Hradiště Česká republika
Požadované normy:	ISO 14001:2015, ISO 45001:2018
Specifikace auditu:	Surveillance 2
Datum auditu:	Uherské Hradiště , Czech Republic: 04-Duben-2024 do 05-Duben-2024
Auditor (role):	Pavel Časta (Vedoucí auditor, Uherské Hradiště , Czech Republic) Elena Stibůrková (Expert, Uherské Hradiště , Czech Republic)
Předmět auditu a certifikace:	<i>Sídlo firmy: AG TRANSPORT, s.r.o., Uherské Hradiště , Czech Republic</i> ISO 14001:2015: Mezinárodní silniční motorová doprava nákladní. Jeřábnické práce. Vazačské práce. Těžká a nadrozměrná doprava. Exclusions from scope: N/A ISO 45001:2018: Mezinárodní silniční motorová doprava nákladní. Jeřábnické práce. Vazačské práce. Těžká a nadrozměrná doprava. Exclusions from scope: N/A

Celkové hodnocení:

Žádná opatření nejsou požadována

The management system was found to be fully effective. (no nonconformities issued)

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HODNOCENÍ VEDENÍ

This visit was surveillance 2 audit to verify continuous effectiveness of the integrated management system against audited requirements of ISO 14001:2015 and ISO 45001:2018.

All requirements of both subject standards are applied in the management system.

Processes provided externally include activities of an authorized person for occupational health and safety and fire safety, waste disposal. The effective management of these processes was demonstrated during the audit by the submitted evidence.

No non-conformity has been raised.

All processes identified by the Organization as necessary for effective performance of the management system are implemented. Top management has allocated sufficient responsibilities and authority for all positions in the organizational structure. The workers representative in OHS matters and his responsibilities and authorities have not changed since the previous visit.

The goals of the organization for 2024 were announced on January 3, 2024 and are proportionate to the activities of the organization. Goal No. 7, aimed at changing the way of thinking in the organization, from a reactive approach to a proactive one, i.e. to prevent environmental and OHS incidents, is very positive. In general, the goals are focused on improving the level of the environmental management system and gradually increasing the performance of the safety system at work. The company's management has allocated sufficient resources to achieve set goals.

An improvement measure implemented since the previous audit is the acquisition of new PPE, especially cut-resistant gloves and new straps with special springs.

All interviewed people were adequately trained in activities performed by them.

The management of processes related to the environment and safety at work is based on the identification of possible risks and the control of the application of measures taken to minimize risks. Activities are carried out regularly, the Control Plan is developed in sufficient detail and inspections and checks are carried out in the specified frequency and detail. Records to the extent necessary to demonstrate compliance with the applicable requirements are documented and retained.

The fire extinguishers are maintained properly. Personal protective equipment is allocated in accordance with the results of the risk analysis.

The process of internal audits is carried out with great care. From the last full system audit carried out in February 2024, 16 non-conformities were issued, for which the organization took an Action Plan and regularly monitors the implementation of measures.

Compliance with all applicable legal requirements reviewed during the audit is monitored and maintained.

The management review was last performed on March 28, 2024. The management review report contained all items required by both standards. The structure of the review inputs and the review outputs corresponds to the

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requirements of the audited standards. The management review meeting resulted in the goals for the next period.

Currently no nonconformity raised by the certification body is opened. Certification marks and certificates are used in compliance with the Intertek Rules.

It was verified that the Integrated Management System is fully implemented and in compliance with audited requirements of ISO 14001:2015 and ISO 45001:2018 standard.

Verification of site notes: Site notes were verified. Address, processes, number of employees correspond to site notes.

The scope remains valid and there are no non-applicable clauses.

Effectiveness of the system in regard to achieving client's objectives: Objectives are monitored at management meetings. According to the submitted records, the individual activities are carried out within the set deadlines.

SWOT ANALÝZA

Silné stránky	<ul style="list-style-type: none">○ Management support for building and improving the integrated system○ Selection of suitable KPIs representing accurately monitored processes○ Very professional execution of internal audits
Slabé stránky	<ul style="list-style-type: none">○ In some cases, activities set to achieve defined goals do not have a clearly measurable success parameter.
Doporučení	<ul style="list-style-type: none">○ To improve the management system, it is possible to use indicators used in sustainability programs, e.g. non-financial reporting, CSRD guidelines, which must be applied by large organizations.
Nebezpečí	<ul style="list-style-type: none">○ growing legislative requirements for occupational safety and sustainability○ lack of competent labor force in the market

INTERTEK MODEL VYSPĚLOSTI SYSTÉMU

Popisy výsledků jsou obecně platné pro všechny systémy řízení a nemůžou být upraveny auditorem, což umožňuje konzistenci interpretace a standardizace výsledků auditu na celém světě. Výsledky auditu poskytované vaší organizací slouží pouze pro účely benchmarkingu a jsou založeny na vyhodnocení auditorů.

Management

Odpovídající -
zavedený

Existuje záznam o řízení závazku, spokojenosti zákazníků, znalost/informovanost o firemní politice a cílech firmy je prokazatelná u většiny zaměstnanců. Odpovědnost a pravomoce jsou definovány, pochopeny a podporovány údaji, trendy a spojenými KPI. Přezkoumání systému managementu je kompletní a je zřejmá podpora většiny zaměstnanců. Záznamy jsou kompletní a poukazují na pozitivní trendy.

Interní audity

Odpovídající -
zavedený

Interní audity jsou prováděny v pravidelných intervalech a to v závislosti na důležitosti systému managementu. Údaje jsou shromažďovány, analyzovány a pravidelně prozkoumávány vedením společnosti. Výsledky interního auditu jsou propojeny s výkonným systémem managementu. Auditorský tým je proškolený, nestranný a objektivní ve svých přístupech. Záznamy z auditu jsou vzhledem k obsahu jasné a stručné a jsou podpořeny nápravnými opatřeními. Vedení společnosti je zapojeno do procesu nápravných opatření a tím je zajištěno včasné provedení a účinnost výsledků.

Nápravná opatření

Splňující účel

Nápravná/preventivní opatření splňují minimální požadavky vyžadované standardem. Existují záznamy o nespokojenosti zákazníků and/or interested party, interních auditech, záruční analýzy, defektech a dodavatelských výkonech. Proces zahrnuje záznam o účinnosti nápravných opatření. Existuje záznam o nástrojích, používaných při řešení problémů.

Průběžná zlepšení

Odpovídající -
zavedený

Datové toky jsou používány jako zdroje řízení neustálého zlepšování. To může zahrnovat politiku kvality, cíle, výsledky auditu, datové analýzy, CAPA a kontrolu managementu. Existuje pár záznamů o pokrokových technikách, které jsou používány během zlepšovací procedury. Ekonomické benefity jsou pochopeny.

Provozní kontrola

Odpovídající -
zavedený

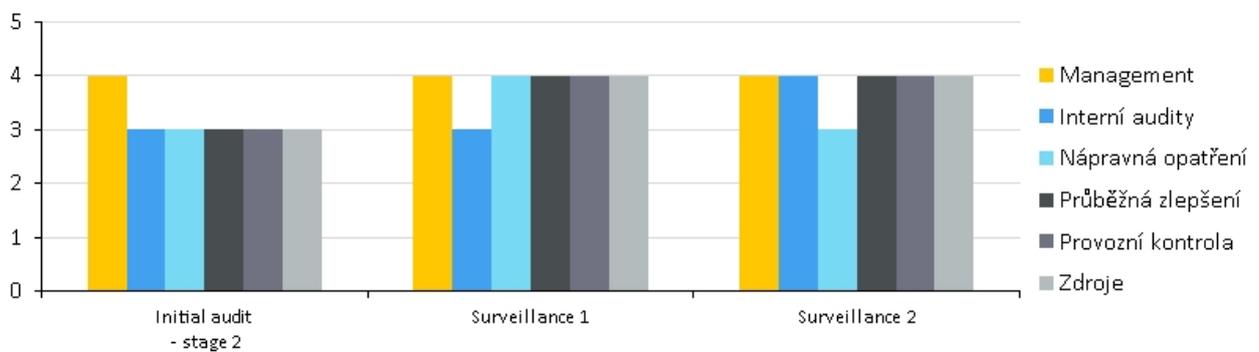
Realizace produktu jsou naplánovány a vyvinuty. Plánování realizace produktu je v souladu se všemi ostatními procesy managementu. Cíle, požadavky produktu, prostředky pro dodatečné dokumenty a zdroje, ověřování, testování a záznamy jsou zhodnoceny jako odpovídající. Proces je trvale fungující. Veškeré údaje jsou shromažďovány a slouží k ověření zlepšení účinnosti realizace produktu s průkazností o zřejmém zlepšování trendů. Některé údaje jsou propojeny s důležitým obchodními faktory.

Zdroje

**Odpovídající -
zavedený**

Zdroje potřebné pro řízení systému managementu jsou plně definovány a použity. Zlepšení jsou monitorována a zaznamenávána v oblastech jako například spokojenost zákazníků, neustálé zlepšování, snížení zmetkovitosti a odpadovosti. Kompetence v rámci organizace jsou definovány a zdokumentovány.

Intertek model vyspělosti systému



Hodnocení: 5=Efektivní - výkonný | 4=Odpovídající - zavedený | 3=Splňující účel | 2=Rozvíjející se | 1=Neprůkazný

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SOUHRN ZJIŠTĚNÍ

	Méně důležitý	Důležitý
Vystaveno během současné aktivity	0	0

Příležitosti pro zlepšení byly identifikovány:

Yes

STAV ZJIŠTĚNÍ Z PŘEDCHOZÍHO AUDITU

Follow-up zjištění vystavených při předešlém auditu:

Prior assessment resulted in no non conformities.

SHRNUTÍ:

Stav systému řízení je shrnutý níže:

Procesy sledování a udržování shody s právními a jinými požadavky

The process for monitoring and maintaining compliance with applicable requirements has not changed. All legal and other obligations are listed in the current version of the Register of Legal Requirements. The Register of Legal Requirements contains sections Air Protection, Waste Management, Chemicals, Environment in General, Health and Safety, Standards and Other requirements.

The last compliance assessment was carried out by the company director on March 15, 2024 (OHS part) and February 20, 2024 (EMS part). The assessment on February 20, 2024 showed that the List of Chemicals used was not current, to which the organization responded and at the time of the audit, the current version of the List of Chemicals was already available.

Over the course of the year, compliance with the specified requirements was verified by senior employees during daily activities, set operational controls and internal audits at the organization's premises. An Inspection Plan is defined for each project and inspections are carried out during transport and at the customer's site. Records of performed inspections are kept in the project folder. The Employee representative in OSH matters is also informed about the results of the compliance assessment as well as the results of regular OSH checks.

The process is deemed to be implemented and used effectively.

Posouzení realizace/implementace vztahující se k významným environmentálním aspektům

The process of identification, assessment and management of environmental aspects as documented in the clause 6.2.1 of the Manual is followed. The Register of Environmental Aspects has been last updated on 22 February 2024. Aspects are assessed in the groups Technological processes of work with a crane, Trucking, Infrastructure, maintenance, Storage, Headquarters and its operation, External surfaces, Activities of the tenant in the premises. None of the environmental aspects was evaluated significant.

The results of the assessment of aspects in February 2024 indicates that the most important aspects for the organization are Fuel pumping - leaks when refuelling on the company premises, emergency accidents & spills of oil substances during transportation, and emergency gas leak during gas heating in the company premises. Additional measures have been taken to minimize also these aspects. The organization identified two positive aspects, i.e. the reduction of energy consumption by using air conditioning to heat the premises and reduction of paper consumption by the progressive transition to electronic versions of the records.

The process is judged to be implemented and used effectively.

Among the chemical substances, various motor and other oils are used the most. A local gas station is operated in the area to support the organization's activities. The chemicals used are listed in the "Chemical substances and mixtures" register, which was revised after a compliance assessment was carried out in February 2024. Safety data sheets were available for all sampled chemical substances at workplaces on the company premises. It was verified that all chemicals are preserved at safe locations, properly labelled, emergency kits well equipped and located closed to the area. In

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general, chemicals are used in a controlled manner and in very small quantities in the company.

Water and gas intake, power take-off are controlled by the contract with suppliers. Records of water and energy consumption are regularly monitored.

Electricity consumption decreased by 12% in 2023 compared to 2022. Petrol consumption decreased in 2023 by 25% compared to 2022.

Waste management process is implemented. The Waste register is managed by an authorized organization in compliance with applicable legislation. The most important wastes are those under the code 200 307 Bulky waste (3.06t) and 160 107 Oil filters (1.06t). Waste is separated at the place of its generation. Collection bins are labelled properly.

The process is implemented in accordance with legislative requirements.

OFl: the organization uses absolute consumption indicators. It would be more accurate to also use the conversion of consumption, for example, per unit of production, turnover or anything else.

Vyhodnocení plnění postupu vyhýbání se nebezpečí a rizika

The organization's activities for which hazards are identified and risks are assessed are divided into Administration, Workshop (assembly pit, vehicle repairs, work with tools, operation of handling trucks, driver clerk, storage, lifting equipment), Building cleaning. The activity is carried out in accordance with the document Risk search methodology Q 06, rev. 1 March 2019, using the RoVS methodology.

During the audit, the records for the following risk analysis were verified:

- Administrative activities (10 risks identified and managed)
- working in an assembly pit (8 risks, acceptable)
- operation of forklifts and MDV (11 risks, all acceptable status)
- vehicle maintenance and repairs (10 acceptable risks)
- work with tools (all acceptable).

From the risk analyses, it is clear the most serious risks for the organization are the risks of dripping when pumping fuel, pumping oil into the tank and emergency gas leak. The organization has adopted and implemented appropriate measures for all these risks. At the time of the audit, these and other reviewed risks were well controlled.

To reduce the risks of the organization

- Performs regular risk assessments by competent and experienced persons,
- Performs regular operational checks at workplaces,
- Ensure regular training of all employees,
- Informs employees of external organizations about local occupational and health safety risks before they can enter the premises,
- Work instructions and policies are issued for more significant risks,
- Employees are assigned PPE and employees undergo regular medical examinations,
- The organization's management maintains suitable working environment and communicates frequently OHS issues with all staff.

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Reviewed documents:

Record No. 2023-12-13 from the health and safety and fire prevention inspection, 13/12/2023. All premises at Uherské Hradiště were inspected by the Authorized person.

Protocol No. 2023/12/21 occupational safety and hygiene training, December 21, 2023, in the scope of procedure Q05-SBP ZZ and Q06 Risk assessment. All staff participated.

Fire prevention training, Protocol No. 2023/12/21.

Awareness training January 2, 2024, agenda: OSH rules outside the AG Transport company, Company policy, Company goals, Integrated system, Risks in the AG Transport company, Operating rules of the gas station, emergency plan, gas station operator. The training was conducted by the director of the company.

Global Wind Organization customer training: WINDA Course Participant Training Certificate - Richard Jagos, cert issued on March 19, 2023. Modules: Working at Heights, Fire Awareness, Manual Handling, First Aid.

Personal protective equipment is allocated to individual persons in accordance with the results of the risk analysis.

There was no serious or fatal accident since the initial certification in 2022. Four Lost time injuries and 4 accidents with medical leave are documented in the Injury book for the year 2023. All accidents were properly documented, investigated and preventive measures implemented.

Přezkoumání a vyhodnocení výkonnostních trendů klienta od poslední certifikace/recertifikace (během recertifikačního a posledního dozorového auditu před recertifikací)

This audit was performed as a surveillance visit to verify continuous compliance with selected requirements of ISO 14001: 2015 and ISO 45001:2018 standard. No serious customer or any other interested party complaint that would require any change in the system or processes was identified since the audit in 2022. The process of corrective actions and incident investigation is efficiently deployed and used in practice.

Zjištěné příležitosti pro zlepšení

OFI: the organization uses absolute indicators to measure water and energy consumption. It would be more accurate to also use a ratio indicator, for example, consumption per unit of production, turnover or anything else.

Závěry týkající se procesu hodnocení rizik / ošetření rizik

The methodology has not changed since the previous visit. Environmental and health and safety risks are identified and evaluated for activities carried out on the company's premises, for transportation and implementation of activities at the customer's premises. Risks related to projects are managed individually for each project, which was verified during the audit on the presented order.

Environmental and health and safety risks are reassessed regularly once a year. The process is clearly described, responsibilities and authorities for the individual steps of the process are clearly defined in procedure Q 06 Risk search methodology, rev. 2. Environmental risks were assessed in accordance with the procedure for identification, assessment and management of environmental aspects documented in clause 6.2.1 of the Manual.

Currently, no risks are rated as Significant, a Control Plan is set for all risks and regular operational checks are carried out. Mitigation, or preventive measures include the allocation of adequate PPE, regular training of employees, inspections at working places and reporting of outputs at operative meetings of the organization's management.

Overall, the risk assessment and risk treatment process were assessed as implemented and used in practice.

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Závěry týkající se kontextu organizace.

The context of the organization has not changed significantly since the previous audit. Internal and external issues have not changed since previous audit and are documented to a reasonable extent in the SWOT Analysis of AG Transport s.r.o., rev. 21 Sept. 2023. Threats have been expanded to include the impact of the conflict in Ukraine.

The Stakeholders as documented in the Manual are owners, customers, external providers, employees, neighbourhood and the state. Requirements and expectations specified for each stakeholder correspond to the activities that stakeholders provide for the organization or that the organization provides for stakeholders.

The context of the organization and its content was reviewed and assessed appropriate at the last management review meeting in March 2024.

Wording of the scope remained without any change since previous visit, and it is documented in the Manual as follows:

Cz: Mezinárodní a vnitrostátní silniční motorová doprava nákladní včetně těžké a nadměrné. Služby práce s jeřáby a vazačské práce.

En: International and domestic road freight transport, including heavy and excessive. Crane work and binding work.

The processes documented in the Process Map and related procedures and instructions are set up appropriately to ensure the effective functioning of the integrated management system, including compliance with applicable regulatory requirements, which was verified during the audit.

The activities of the organization are performed in a one-shift mode.

Doplňující informace / nevyřešené záležitosti

n/a

Komunikace / Změny v průběhu návštěvy (je-li aplikovatelné)

The audit has been executed in compliance with the Audit plan. All processes and requirements of ISO 14001:2015 and ISO 45001:2018 were considered and effectively examined as mentioned in the Audit plan as executed.

Odkazy k přílohám (dodatkům):

Audit plan (as executed)

Yes

Audit byl proveden podle plánu auditu v souladu s cíli auditu, předmětem certifikace a délkou (na místě a mimo) tak, jak je stanoveno v plánu auditu

Confirmed.

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Došlo k nějakým změnám v rozsahu certifikace?

No

Došlo k nějakým změnám v počtu zaměstnanců?

No

Došlo k nějakým změnám adresy?

No

Byly přidány nebo odstraněny nějaké lokality?

No

Došlo k nějakým dalším změnám?

No

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DOPORUČENÍ VEDOUCÍHO AUDITORA

Doporučení vedoucího auditora pro ISO 45001:2018

The management system is in conformity with the audit criteria and can be considered effective in assuring that objectives will be met. Continued certification is therefore recommended.

Doporučení vedoucího auditora pro ISO 14001:2015

The management system is in conformity with the audit criteria and can be considered effective in assuring that objectives will be met. Continued certification is therefore recommended.

DALŠÍ NEBO DODATEČNÁ DOPORUČENÍ HLAVNÍHO AUDITORA

n/a

POTVRZENÍ KLIENTA

Z10_ClientRepresentativeName

Mgr. Tomáš Kepič, Managing Director, tkepic@agtransport.cz

Převzal:

Mr. Antonín Guriča, agurica@agtransport.cz

Tato zpráva je založena na vzorku důkazů shromážděných během auditu; proto nejsou její výsledky a závěry zcela jisté. Tato zpráva a celý její obsah je předmětem nezávislého předchozího posouzení a rozhodnutí týkajícího se udělení či obnovení certifikace.